

MLB COMMODITIES PVT. LTD.
RISK MANAGEMENT SYSTEM POLICY

We are running CTCL ids in all our branches and Authorised Persons locations except a few where we have given the TWS terminals. This is done in order to exercise proper control over the trading activities.

All our CTCL ids are centrally monitored and all the limits being given are also through the RMS team in the head office.

Each RMS executive has been given a charge of specific locations which he monitors on day to day basis and he has been entrusted the task of changing the limits if he feels so.

We follow a procedure where there should be minimal manual intervention in the admin terminals. We import updated Contracts File, Bhav Copy, Margin Files, Position file and RPF file as provided by the Exchange on daily basis in our CTCL Manager. Lastly we import a deposit file in the system on the basis of which each client is assigned a particular limit.

The computation of the deposit is also automated and the deposit is computed on the basis of the available balance of the client plus the value of securities pledged in our favour.

Each RMS executive has also been entrusted the job of recovery of payments from the clients of his assigned branches. Also, he ensures that he receives the periodical balance confirmation from all the clients of his assigned branches. Whenever a particular client comes under Margin shortfall our RMS executive keeps an eye on that client code.

We do send the SMS to our clients for the trades done by them throughout the day.

We also make it a point to visit the branches on a periodical basis so that each one of our team member has a feel of that location and more important because any kind of non compliance or error or scam can be traced in such a manner.
